



Application No. 09/436,984
Docket No. 740756-2063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Shunpei YAMAZAKI et al.) Confirmation No. 7375
Serial No. 09/436,984) Examiner: William D. COLEMAN
Filed: November 9, 1999) Group Art Unit: 2823
For: SEMICONDUCTOR DEVICE AND) Date: October 18, 2004
MANUFACTURING METHOD)
THEREOF)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
220 20th Street, South
Customer Window, Mail Stop Amendment
Crystal Plaza Two, Lobby, Room 1B03
Arlington, Virginia 22202

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

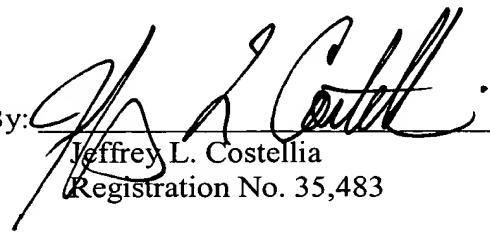
10/19/2004 MB/EVENE1 00000003 192380 09436984

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The Commissioner is hereby authorized to charge the amount of \$180 to comply with the provisions of 37 C.F.R. § 1.97(c). The Commissioner is also authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380 (740756-2063).

Respectfully submitted,

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/436,984
				Filing Date	November 9, 1999
				First Named Inventor	Shunpei YAMAZAKI et al.
				Art Unit	2823
				Examiner Name	William D. COLEMAN
Sheet	1	of	2	Attorney Docket Number	740756-2063
U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
1	US-4,357,557	November 2, 1982	INOHARA et al.		
2	US-4,658,496	April 21, 1987	BEINVOGL et al.		
3	US-5,072,263	December 10, 1991	WATANABE et al.		
4	US-5,107,175	April 21, 1992	HIRANO et al.		
5	US-5,124,204	June 23, 1992	YAMASHITA et al.		
6	US-5,189,405	February 23, 1993	YAMASHITA et al.		
7	US-5,405,791	April 11, 1995	AHMAD et al.		
8	US-5,593,907	January 14, 1997	ANJUM et al.		
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41	US-6,365,917	April 2, 2002	YAMAZAKI		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/436,984
Sheet	2	of	2	Filing Date	November 9, 1999
				First Named Inventor	Shunpei YAMAZAKI et al.
				Group Art Unit	2823
				Examiner Name	William D. COLEMAN
				Attorney Docket Number	740756-2063

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43	US-6,380,558	April 30, 2002	YAMAZAKI et al.	
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51	US-6,469,317	October 22, 2002	YAMAZAKI et al.	
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58	US-6,645,826	November 11, 2003	YAMAZAKI et al.	
59	US-2002/0125817	September 12, 2002	YAMAZAKI et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴ (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.